

# Wave Optics

- In part 1 we saw how waves can appear to move in straight lines and so can explain the world of geometrical optics
- In part 2 we explore phenomena where the wave nature is obvious not hidden
- Key words are *interference* and *diffraction*



#### Wave motion (1)

- · See the waves lecture course for details!
- Basic form of a one-dimensional wave is  $\cos(kx-\omega t-\phi)$  where  $k=2\pi/\lambda$  is the wave number,  $\omega=2\pi v$  is the angular frequency, and  $\phi$  is the phase
- · Various other conventions in use

## Wave motion (2)

- Basic wave is  $\cos(kx-\omega t-\phi)$
- At time t the wave will look identical to its appearance at time 0 except that it will have moved forward by a distance x=ωt/k
- Wave moving the other way is described by cos(-kx-ωt-φ)

# Plane wave (1)

- This is the basic equation for a wave on a string, but we can also use the same approach to describe a light wave travelling along the x-axis
  - More complex versions for general motion
- Oscillations in the electric and magnetic fields which vary in space and time just like the motion of a string







## **Obliquity Factor**

- A more detailed treatment due to Fresnel shows that a point source does not really produce a spherical wave. Instead there is more light going forwards than sideways
  - Described by the *obliquity factor*  $K=1/2(1+\cos\theta)$
  - Also explains lack of backwards wave
  - Not really important for small angles
  - Ignored in what follows















## Two slit interference (2)

- These lines are the sets of positions at which the waves from the two slits are *in phase* with one another
- This means that the *optical path lengths* from the two slits to points on the line must differ by an integer number of wavelengths
- The amplitude at a given point will oscillate with time (not interesting so ignore it!)



















#### Practicalities: the screen

- Young's slits form an interference pattern on a screen at *any* distance
  - Not an image!
  - At large distances the interference points lie on straight lines at constant angles
- Increasing the distance to the screen increases the separation between the fringes but decreases their brightness

## Practicalities: the slits

- The treatment above assumes that the slits act as point sources
  - Means that fringes can't be very bright
- As slits get broader the outer fringes blur
  - We will come back to this once we have looked at single slit diffraction
  - Not a problem as long as slit width is much smaller than slit separation
  - Allows central fringe to be identified!

#### Practicalities: the source (2)

- A plane wave corresponds to a set of parallel rays
- This can be achieved by placing a point source at the focus point of a converging lens
- Can do a similar trick to see the fringes more clearly
  - More of this when we look at gratings

#### Practicalities: the source (1)

- Young's slits assumes a light source providing uniform plane wave illumination
- Simplest approach is to assume a point source far from the slits
  - Spherical waves look like plane waves at a long distance from the source
- · Such sources are not very bright

#### Practicalities: the source (3)

- Still necessary to use a point source to avoid interference between light coming from different parts of the source!
- Detailed calculation is similar to that for slit size, so the source only needs to be small rather than a true point.
- Also turns out you can use a source *slit* as long as it is parallel to the two slits

## Practicalities: colours (1)

- The above all assumes a *monochromatic* light source
- Light of different colours does not interfere and so each colour creates its own fringes
- Fringe separation is proportional to wavelength and so red fringes are bigger than blue fringes
- · Central fringe coincides in all cases

#### Interference (1)

- It is easy to calculate the positions of maxima and minima, but what happens between them?
- Explicitly sum the amplitudes of the waves  $A=cos(kx_1-\omega t-\phi)+cos(kx_2-\omega t-\phi)$
- Write x<sub>1</sub>=x-δ/2, x<sub>2</sub>=x+δ/2 and use cos(P+Q)+cos(P-Q)=2cos(P)cos(Q)
- Simplifies to A= $2\cos(kx-\omega t-\phi) \times \cos(k\delta/2)$

## Practicalities: colours (2)

Observe bright central fringe (white with coloured edges) surrounded by a complex pattern of colours. Makes central fringe easy to identify!

## Interference (2)

- Amplitude is A= $2\cos(kx-\omega t-\phi) \times \cos(k\delta/2)$
- Intensity goes as square of amplitude so  $I=4\cos^2(kx-\omega t-\phi)\times\cos^2(k\delta/2)$
- First term is a rapid oscillation at the frequency of the light; all the interest is in the second term
- $I=2\cos^2(k\delta/2)=2[1+\cos(k\delta)]/2$

## Interference (3)

- Intensity is  $I=2\cos^2(k\delta/2)=1+\cos(k\delta)$
- Intensity oscillates with maxima at  $k\delta=2n\pi$ and minima at  $k\delta=(2n+1)\pi$
- Path length difference is  $\delta = dy/D$
- Maxima at y=D $\delta$ /d with  $\delta$ =2n $\pi$ /k and k=2 $\pi$ / $\lambda$  giving y=n $\lambda$ D/d

## Exponential waves (2)

- · Repeat the interference calculation
- Explicitly sum the amplitudes of the waves  $A=Re\{exp[i(kx-k\delta/2-\omega t-\phi)]+exp[i(kx+k\delta/2-\omega t-\phi)]\}$   $=Re\{exp[i(kx-\omega t-\phi)]\times(exp[-ik\delta/2]+exp[+ik\delta/2])\}$   $=Re\{exp[i(kx-\omega t-\phi)]\times(cos[k\delta/2]\}$   $=2cos(kx-\omega t-\phi)\times cos[k\delta/2]\}$
- Same result as before (of course!) but can be a bit simpler to calculate
- Will use complex waves where convenient from now on

## Exponential waves (1)

- Whenever you see a cosine you should consider converting it to an exponential! exp(ix)=cos(x)+ i sin(x)
- Basic wave in exponential form is cos(kx-ωt-φ)=Re{exp[i(kx-ωt-φ)]}
- Do the calculations in exponential form and convert back to trig functions at the very end

#### Exponential waves (3)

- We can do the sum in a slightly different way  $A=Re\{exp[i(kx-\omega t-\phi)]+exp[i(kx+k\delta-\omega t-\phi)]\}$   $=Re\{exp[i(kx-\omega t-\phi)]\times(1+exp[+ik\delta])\}$   $=Re\{exp[i(kx-\omega t-\phi)]\times exp[+ik\delta/2]\times(exp[-ik\delta/2]+exp[+ik\delta/2])\}$   $=Re\{exp[i(kx-\omega t-\phi+k\delta/2)]\times 2\cos[k\delta/2]\}$
- Taking the real part now looks messy because of the extra *phase* term, but we can just wait a time  $\tau$  such that  $\omega \tau = k\delta/2$  and everything comes back into phase. What really matters is the *absolute value* of the wave.

## Exponential waves (4)

- Use a complex amplitude to represent the wave A=exp[i(kx- $\omega$ t- $\phi$ +k $\delta$ /2)]×2cos[k $\delta$ /2]
- The intensity of the light is then given by the square modulus of the amplitude: I=A\*A=4cos<sup>2</sup>[k\delta/2]
- This approach loses the rapid time oscillations, but we have previously ignored these anyway! Result is the peak intensity which is twice the average intensity.

# Phasors (1)

- Something very similar occurs in circuit theory
- We represent an oscillation by a complex function, which basically works but we have to fiddle a few results at the end
- A better approach is to use *phasors* which are mathematical objects which are almost but not quite identical to complex numbers. See Lorrain and Corson for the gory details.
- Phasors in optics are similar (but not quite the same!)

## Phasors (2)

- A complex wave has an amplitude, an oscillatory part, and a phase ψ=A×exp[i(kx-ωt)]×exp[iφ]
- Note that the phase term will depend on things like paths lengths measured in multiples of the wavelength
- The oscillatory bit is not terribly interesting, and we can combine the amplitude and phase to get the complex amplitude  $\alpha=A\times\exp[i\phi]$
- Interference is about adding complex amplitudes

## Phasors (3)

- We can represent a complex amplitude as a two dimensional vector on an Argand diagram
- We can then get the sum of complex amplitudes by taking the vector sum



## Phasors (4)

- In some cases elegant geometrical methods can be used to say something about the sum of a set of phasors without doing tedious calculations
- No calculations actually require phasors to be used, and at this level they are not terribly useful
- Don't worry about them too much!

## Optical path lengths (2)

- We could recalculate everything from first principles, but it is simplest just to note that the *central* fringe corresponds to the point where the *optical path lengths* for the two sources are identical
- Light travelling through the transparent material has travelled a *longer* optical path and so the central fringe must move *towards* this slit to ccompensate

# Optical path lengths (1)

- We can change the appearance of a two slit interference pattern by changing the optical path length for light from one of the two slits
- Place a piece of transparent material with refractive index *n* and thickness *w* in front of one of the slits
- This increases the optical path length for light travelling through that slit by (*n*-1)×*w*, changing the phase of the light





## Optical path lengths (5)

- It might seem odd that we always talk about the transparent material being placed *before* the slit rather than after it
- This means that we have to do the optical path calculations from some apparently arbitrary point before the slit
- Why not place the transparent material after the slit?

#### Recognising the central peak

- Taking  $\lambda$ =500nm, a piece of glass 0.1mm thick with n=1.5 gives a shift of 100 fringes
- The above all assumes that we can recognise the central peak, but in the naïve treatment all peaks look the same!
- For white light fringes the central peak is easily recognised as the only clear white fringe
- For monochromatic light imperfections (notably the finite slit width) means that the central peak will be the brightest



# Optical path lengths (7)

- · What about the apparently arbitrary start point?
- Rigorous approach is to start all calculations from the *source*, not from near the slits
- Also allows calculations on the effect of moving the source nearer to one of the two slits!
- This is also how you should think about imperfections like finite source sizes: different parts of the source lie at different distances from the two slits.

# Diffraction gratings (1)

- A diffraction grating is an extension of a double slit experiment to a very large number of slits
- Gratings can work in transmission or reflection but we will only consider transmission gratings
- The basic properties are easily understood from simple sketches, and most of the advanced properties are (in principle!) off-syllabus























## Prisms or gratings?

- · Gratings have many advantages
  - Dispersion can be calculated!
  - High orders lead to high resolution
  - Reflection gratings don't need transparency
- · Gratings have a few disadvantages
  - Intensity shared between different orders
  - Can be improved by *blazing* the grating











#### Fraunhofer diffraction (1)

- In the above we mostly treated diffraction gratings as a generalisation of a double slit. In general we sum the amplitudes of light waves coming from all sources, and the intensity is the square modulus of the total amplitude
- For continuous objects replace the sum by an integral. Best to use complex wave notation to make integrals simple

## Fraunhofer diffraction (2)

- In the general case we have to use the full theory of Fresnel diffraction (nasty!)
- In many important cases can use the simpler Fraunhofer approach
- This applies when the *phase* of the light amplitude varies *linearly* across the object
- Ultimately leads to the use of Fourier transforms in optics (next year!)

## Fraunhofer diffraction (4)

- Plane waves are a *sufficient* but not a *necessary* condition for Fraunhofer diffraction, which is also applicable much more generally (see later)
- Key result in simple optics is a blurring of images in any optical system arising from the finite size of optical components

## Fraunhofer diffraction (3)

- Fraunhofer diffraction applies when the source and the image are a long way from the diffracting aperture, so that it is illuminated and observed with plane waves. Need distances greater than a<sup>2</sup>/λ where a is the size of the object
- More practically can use lenses to create and observe parallel beams as seen above for diffraction gratings

## Single slit diffraction (1)

- Consider a single slit illuminated by a plane wave source
- If the slit is perfectly narrow this will create a cylindrical wave
- If the slit has significant width we must treat it as a continuous distribution of sources and integrate over them



## Single slit diffraction (3)

- Final amplitude is obtained by integrating over all points x in the slit and combining the phases, most simply in complex form
- $A = \int \exp(ik\delta) dx = \int \exp(ikx\sin(\theta)) dx$
- Integral runs from x=-b/2 to x=b/2 and then normalise by dividing by b



- $A = sin[(kb/2)sin(\theta)]/[(kb/2)sin(\theta)]$
- A = sin( $\beta$ )/ $\beta$  with  $\beta$ = (kb/2)sin( $\theta$ )]
- · Light intensity goes as the square of A
- $I=I_0[sin(\beta)/\beta]^2$
- $I = I_0 sinc^2(\beta)$









#### **Resolving Power**

- Basic ideas for the resolving power of a diffraction grating have been seen already, but now we can do the calculation properly
- Resolving power is defined as the reciprocal of the smallest change in wavelength which can be resolved as a fraction of the wavelength







#### **Resolving Power**

- We have  $n \delta \lambda = d \cos \theta \, \delta \theta$  and  $\delta \theta = \lambda / W \cos \theta$
- Gives  $\delta\lambda = (d\cos\theta \lambda)/(nW\cos\theta) = d\lambda/nW$  or  $\lambda/\delta\lambda = nW/d$
- But W is the width of the grating and d is the distance between slits so W/d is the number of slits on the grating, N
- Resolving power of grating is nN

## Slit width (two slits)

- The traditional treatment of the double slit experiment is that each narrow slit acts as a source of circular waves that interfere
- If the slits have finite width b they will only produce intense waves within an angle θ=arcsin(λ/b)≈λ/b and only see around d/b fringes where d is the slit separation
- See problem set for better treatment

#### Rectangular apertures

- A real slit is narrow in one dimension and very long (but not infinite!) in another
- The problem of a real slit can be solved in much the same way as an ideal slit but we now have to integrate over two dimensions
- Result is diffraction in both dimensions but the effect of the finite slit length can be neglected if it is many wavelengths long

#### Circular apertures

- The case of a circular hole is much like a square hole except that we have rotational symmetry
- Best solved by integrating in circular polar coordinates. This turns out to be a standard integral leading to a *Bessel function*
- Intensity is an *Airy pattern* with a bright *Airy disk* surrounded by *Airy rings*
- The resulting resolution is δθ≈1.22λ/W (radius of the Airy disk)



## Resolution of a lens

- Even neglecting aberrations a lens cannot give a perfect image
- Treat a real lens of width (diameter) W as an ideal (infinite) lens, preceded by a circular aperture of diameter W which blurs the image by diffraction
- The resulting angular resolution is  $\delta\theta{\approx}1.22\lambda/W$

## Resolution of a camera (1)

• Simplest case is imaging an on axis infinitely distant point with a thin lens



#### Resolution of a camera (2)

- A lens of focal length *f* can focus a beam down to a spot of radius 1.22 *f*λ/W where W is the width (diameter) of the lens and thus the width of the light beam to be focused
- Note that resolution is better for blue (short wavelength) light than for red light
- There are corresponding limits on the ability of optical systems to produce parallel beams from point sources and on the ability of detectors to distinguish distant sources

## Resolution of a camera (3)

• Get the same effect if the aperture is just behind the thin lens



## Resolution of a camera (4)

• Get the same effect even if the aperture is well behind the thin lens



• Aperture behind the lens has the same effect as a larger aperture at the lens (similar triangles)

#### Resolution of a telescope

- Resolution is usually limited by the width of the objective lens or the primary mirror
- Must ensure that all subsequent optical components are big enough that they don't cut the beam down further
- Simple as focussing of beams means that all later components will be smaller than the objective

#### Resolution of a camera (5)

- Imagine surrounding the aperture with diverging and converging thin lenses
- This has no overall effect on the rays but causes the aperture to be illuminated with plane waves, so get Frauhofer diffraction
- Any aperture causes Fraunhofer diffraction when observed in the image plane!

#### Examples

- The pupil of the human eye gives a limiting angular resolution around 0.1mrad (20arcsec). This corresponds to resolving the headlights on a car about 20km away. With a small 125mm telescope the resolution is about 20 times better!
- Wavelength is just as important as diameter: the Arecibo telescope (300m diameter) has a limiting angular resolution of about 0.1mrad for 3cm radiation, but only 25mrad at 6m

#### Resolution of a microscope (1)

- Simplest approach is to work backwards and find the smallest spot size to which a beam of light can be focused
- In the paraxial limit we already know that the limiting spot size is 1.22 λ f/W and the smallest resolvable feature has size λ f/W
- General case was solved by Abbe using an analogy with diffraction gratings

#### Resolution of a microscope (3)

- Can improve resolution still further by filling space between object and lens with fluid of refractive index *n* (oil objective)
- Limit is now λ/2 NA where NA=nsin(α) is the Numerical Aperture of the lens
- Given typical refractive indices the limiting resolution of a microscope is about λ/3≈135nm for blue light

#### Resolution of a microscope (2)

- Abbe showed that the smallest resolvable feature has size  $\lambda/2 \sin(\alpha)$  where  $\alpha$  is half the angle subtended by the lens as seen from the focal plane
- For the paraxial case 2sin(α)≈ tan(2α)≈W/f in agreement with previous result
- As  $sin(\alpha)$  cannot exceed 1 the limit on resolution by any lens is  $\lambda/2$

#### Interference and Coherence

- Proper treatment of interference between different colours
- · Coherence and its effects on interference

## Practicalities: colours (1)

- The above all assumes a *monochromatic* light source
- Light of different colours does not interfere and so each colour creates its own fringes
- Fringe separation is proportional to wavelength and so red fringes are bigger than blue fringes
- · Central fringe coincides in all cases

## Practicalities: colours (2)

Observe bright central fringe (white with coloured edges) surrounded by a complex pattern of colours. Makes central fringe easy to identify!

#### Questions: colours

- Does it really make sense to talk about interference only occurring between light of exactly the same colour? No source is truly monochromatic!
- Interference occurs by summation of amplitudes and surely this occurs whatever the waves look like?

# Two source interference

· Perfectly practical with radio waves



#### Exponential wave analysis (2)

- Consider interference between identical sources at distances  $x_1{=}x{-}\delta x/2~$  and  $x_2{=}x{+}\delta x/2$
- A=exp[i(k(x- $\delta x/2$ )- $\omega t$ )]+exp[i(k(x+ $\delta x/2$ )- $\omega t$ )]
- A=exp[i(kx- $\omega$ t)]×2cos[k $\delta$ x/2]
- $I=\frac{1}{2}A*A=2\cos^{2}[k\delta x/2]=1+\cos[k\delta x]$
- Standard interference pattern calculated before

## Exponential wave analysis (1)

- Use complex amplitudes to represent the waves A=exp[i(kx-ωt)]
- The intensity of the light is then given by half of the square modulus of the amplitude: I=<sup>1</sup>/<sub>2</sub>A\*A
- This approach loses the rapid time oscillations, which occur at the frequency of the light. The factor of ½ is obtained by averaging over these. Rigorous calculations give the same result.

#### Exponential wave analysis (2)

- Now consider two different sources
- A=exp[i(k<sub>1</sub>(x- $\delta x/2$ )- $\omega_1 t$ )]+exp[i(k<sub>2</sub>(x+ $\delta x/2$ )- $\omega_2 t$ )]
- $I=\frac{1}{2}A*A=1+\cos[\frac{1}{2}(k_1+k_2)\delta x-(k_1-k_2)x+(\omega_1-\omega_2)t]$
- $k_1=k+\delta k/2$ ,  $k_2=k-\delta k/2$ ,  $\omega_1=\omega+\delta \omega/2$ ,  $\omega_2=\omega-\delta \omega/2$
- I=1+cos[k  $\delta x \delta k x + \delta \omega t$ ]

## Exponential wave analysis (3)

- I=1+cos[k  $\delta x \delta k x + \delta \omega t$ ]
- The third term indicates that the interference pattern looks like a *travelling wave* and moves across the screen. The light intensity at any point oscillates at frequency  $\delta\omega$
- If the detector (camera, eye, etc.) has a response time slow compared with  $1/\delta\omega$  then the pattern will wash out to the average intensity of 1

## Exponential wave analysis (4)

- Thus we will only see interference patterns from two sources if their frequencies are closely matched compared with the response time of the detector!
- Now need to consider what happens if there are sources emitting two frequencies at *both* positions. The analysis is messy but not too bad with help from an algebra program

#### Exponential wave analysis (5)

- Result is a time varying term, which averages to zero, and a constant term which must be kept
- I=2+2cos[k  $\delta x$ ]cos[ $\delta k \delta x/2$ ]
- This is exactly the same result as you get by adding together two separate intensity patterns
- I=1+cos[(k- $\frac{1}{2}\delta k$ )  $\delta x$ ]+1+cos[(k+ $\frac{1}{2}\delta k$ )  $\delta x$ ]

#### Two colours

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Patterns of constructive and destructive interference between two sets of fringes leads to a beat pattern in the total intensity

## Temporal coherence

- The normal two slits analysis derives two *coherent* sources by dividing the wavefronts from a single source. We see interference between wavefronts which have left the source at different times.
- This only works if the time gap is small compared with the *coherence time* of the source which depends on the *frequency bandwidth* of the source: sharp frequency sources give more fringes!

## Spatial coherence

- Spatial coherence describes the coherence between different wavefronts at different points in space. It is rather more complicated than temporal coherence as it also depends on the size (angular diameter) of the source
- Can be used the measure the angular diameter of the source! This is the basis of Michelson's stellar interferometer
- See Introduction to Modern Optics by Grant R. Fowles